

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Takao SAITO, Yukinori NAKAMURA, Yoshimasa KONDO  
and Naoto OHTAKE

Ser. No.: 10/774,454

Art Unit: 1792

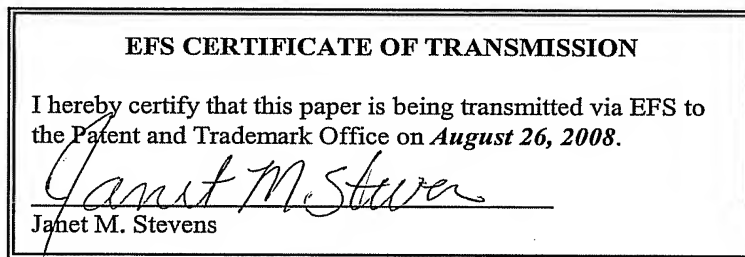
Filed: February 10, 2004

Examiner: David P. Turocy

Confirmation No.: 9153

For: THIN FILMS AND A METHOD FOR PRODUCING THE SAME

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450



TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. 1.97(c)

TIME OF TRANSMITTAL OF ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT

1. The information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but *before* the mailing date of either:
  - (1) a final action under § 1.113,
  - (2) a notice of allowance under § 1.311 or
  - (3) an Office Action that closes prosecution.

STATEMENT OR SUBMISSION FEE

2. Accompanying this transmittal is

A. ☒ a statement as specified in 37 C.F.R. 1.97(e).

OR

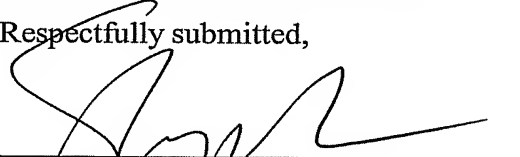
B. ☐ the submission fee set forth in 37 C.F.R. 1.17(p) for submission of an information disclosure statement under § 1.97(c). (\$180.00).

Fee due: \$00

**METHOD OF PAYMENT OF FEE**

3. ☐ Attached is a check in the amount of \$.  
☐ Charge Account No. 50-1446 in the amount of \$. A duplicate of this request is attached.  
☐ The fees associated with this paper are being paid electronically with this submission.
4. ☒ If any additional fees are due, please charge Deposit Account 50-1446.

Respectfully submitted,



Stephen P. Burr  
Reg. No. 32,970

August 26, 2008

Date

SPB:JAW:jms

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Practitioner's Docket No.: 811\_106

PATENT

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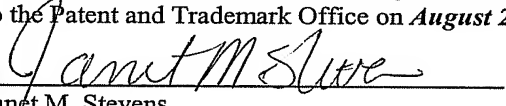
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EFS CERTIFICATE OF TRANSMISSION

I hereby certify that this paper is being transmitted via EFS  
to the Patent and Trademark Office on *August 26, 2008*.

  
Janet M. Stevens

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)

Sir:

☒ Each item of information contained in the Information Disclosure Statement filed herewith was *first* cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

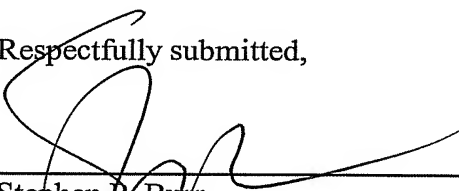
OR

☐ No item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

August 26, 2008

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*August 26, 2008.*

*Janet M. Stevens*  
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INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. Required copies of the references listed on Form PTO-1449 are attached.

Also enclosed is a copy of an Official Notice of Preliminary Rejection from a corresponding Japanese patent application bearing a mailing date of August 20, 2008, and the U.S. PTO is directed thereto for a concise statement of possible relevance of the references cited therein. Reference **BB** corresponds to Reference **BA**.

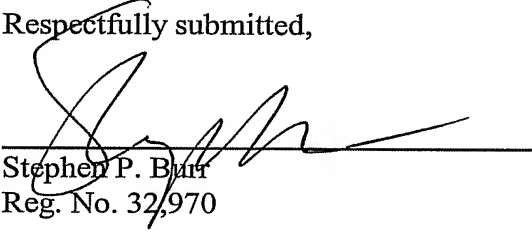
The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446.

Respectfully submitted,

August 26, 2008

Date

  
\_\_\_\_\_  
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